

UTILITY PATENT APPLICATION TRANSMITTAL
(Only for new nonprovisional applications under 37 CFR 1.53(b))

01/29/01
 Jc984 U.S. PTO
 09/772539
 01/29/01

Jc796 U.S. PTO
 09/772539
 01/29/01

Docket No. : 12082.05/JWE
 Inventor(s) : Kyle R. Webb, et al.
 OCULAR FIXATION AND STABILIZATION DEVICE FOR
 OPHTHALMIC SURGICAL APPLICATIONS

Express Mail Label No. : EL599668128US

ADDRESS TO: Assistant Commissioner for Patents
 Box Patent Application
 Washington, D.C. 20231

Date: January 29, 2001

1. ☒ **FEE TRANSMITTAL FORM** (Submit an original, and a duplicate for fee processing).
2. ☒ Applicant claims small entity status under 37 CFR §1.27. A small entity declaration is enclosed.
3. **IF A CONTINUING APPLICATION**
 - ☐ This application is a [divisional/continuation or continuation-in-part] of patent application No. _____.
 - ☐ This application claims priority pursuant to 35 U.S.C. §119(e) and 37 CFR §1.78(a)(4), to provisional Application No. _____, filed _____ (Docket No. _____).

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied is supplied under item 4c below, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.
4. **APPLICATION COMPRISED OF**
 - (a) Specification
 - 43 Specification, claims and Abstract (total pages)
 - (b) Drawings
 - 7 Sheets of drawing(s) (FIGS. 1 to 10)
 - (c) Declaration and Power of Attorney
 - ☐ Newly executed
 - ☐ Copy from a prior application (37 CFR 1.63(d))
(for continuation and divisional)
 - ☒ An **unsigned** declaration
 - (i) **DELETION OF INVENTOR(S)**
 Signed statement attached deleting inventor(s) named in the prior application (37 CFR 1.63(d) and 1.33(b))

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5. ☐ **Microfiche Computer Program** (*Appendix*)

6. ☐ **Nucleotide and/or Amino Acid Sequence Submission** (*if applicable, all necessary*)

- ☐ Computer Readable Copy
- ☐ Paper Copy (identical to computer copy)
- ☐ Statement verifying identity of above copies

7. **ALSO ENCLOSED ARE**

- ☐ Preliminary Amendment
- ☐ Petition for Extension of Time for the parent application and the required fee are enclosed as separate papers
- ☐ An Assignment of the invention with the Recordation Cover Sheet and the recordation fee are enclosed as separate papers
- ☐ 37 CFR 3.73(b) Statement (where there is an Assignee)
- ☐ This application is owned by pursuant to an Assignment recorded at Reel __, Frame __
- ☐ Information Disclosure Statement (IDS)/PTO-1449
- ☐ Copies of IDS Citations
- ☐ Certified copy of Priority Document(s) (*if foreign priority is claimed*)
- ☐ English Translation Document (*if applicable*)
- ☒ Return Receipt Postcard (MPEP 503) (should be specifically itemized).
- ☐ Other

FOR FILING

8. **CORRESPONDENCE ADDRESS**

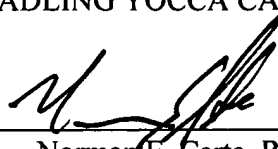
Please address all correspondence to **STRADLING YOCCA CARLSON & RAUTH, IP Department,**

P.O. Box 7680, 660 Newport Center Drive, Suite 1600, Newport Beach, California 92660-6441.

Respectfully submitted,

STRADLING YOCCA CARLSON & RAUTH

By



Norman E. Carte Reg. No. 30,455 for
John W. Eldredge
Reg. No. 37,613
(949) 725-4143

FEE TRANSMITTAL UTILITY PATENT APPLICATION

DATE: January 29, 2001

Docket No. : 12082.05/JWE
Inventor(s) : Kyle R. Webb, et al.
Title : OCULAR FIXATION AND STABILIZATION DEVICE FOR OPHTHALMIC SURGICAL APPLICATIONS

FEE CALCULATIONS					
CLAIMS		NUMBER FILED	NUMBER EXTRA	RATE	CALCULATIONS
A	TOTAL CLAIMS	40 - 20 = 20	20	20 x \$9.00	\$180.00
B	INDEPENDENT CLAIMS	5 - 3 = 2	2	2 x \$40.00	
C	SUBTOTAL	SMALL ENTITY FEE = A + B LARGE ENTITY FEE = 2 X (A + B)			\$260.00
D	BASIC FEE	SMALL ENTITY FEE = \$355.00 LARGE ENTITY FEE = \$710.00			\$355.00
E	MULTIPLE-DEPENDENT CLAIMS FEE	SMALL ENTITY FEE = \$135.00 LARGE ENTITY FEE = \$270.00			
	TOTAL FILING FEE (ADD LINES C, D, AND E)				\$615.00

List Independent Claims: 1, 15, 20, 29, 33

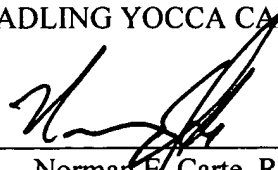
List Independent Claims: 1, 15, 20, 29, 33

METHOD OF PAYMENT

- ☐ Payment Enclosed: Check for \$655.00 (including filing fee of \$615.00 and \$40.00 recordation fee).
- ☐ The Commissioner is hereby authorized to charge any fees under 37 CFR 1.16 and 1.17 which may be required during the **entire pendency** of the application to Deposit Account No. 501329. Please show our docket number with any charge or credit to our Deposit Account. **A copy of this letter is enclosed.**
- ☒ No payment is enclosed.

Respectfully submitted,

STRADLING YOCCA CARLSON & RAUTH

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